

PCTWORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : C12N 1/12, 1/20, 1/38	A1	(11) International Publication Number: WO 97/16528 (43) International Publication Date: 9 May 1997 (09.05.97)
(21) International Application Number: PCT/US96/17661 (22) International Filing Date: 31 October 1996 (31.10.96) (30) Priority Data: 08/556,805 2 November 1995 (02.11.95) US (71) Applicant: THE UNIVERSITY OF CHICAGO [US/US]; 5801 S. Ellis, Chicago, IL 60637 (US). (72) Inventors: DONNELLY, Mark; 2S326 Williams Road, Wrenville, IL 60555 (US). MILLARD, Cynthia, S.; 16513 Winding Creek Road, Plainfield, IL 60544 (US). STOLS, Lucy; 945 Naples Lane, Woodridge, IL 60517 (US). (74) Agent: CHERSKOV, Michael, J.; Cherskov & Flaynik, The Civic Opera Building, Suite 1447, 20 North Wacker Drive, Chicago, IL 60606 (US).		(81) Designated States: AL, AM, AT, AU, AZ, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG). Published <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
(54) Title: A MUTANT E. COLI STRAIN WITH INCREASED SUCCINIC ACID PRODUCTION (57) Abstract A method for isolating succinic acid producing bacteria is provided comprising increasing the biomass of an organism which lacks the ability of catabolize pyruvate, and then subjecting the biomass to glucose-rich medium in an anaerobic environment to enable pyruvate-catabolizing mutants to grow. The invention also provides for a mutant that produces high amounts of succinic acid, which has been derived from a parent which lacked the genes for pyruvate formate lyase and lactate dehydrogenase, and which belongs to the <i>E.coli</i> Group of Bacteria.		

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

A MUTANT E. COLI STRAIN WITH INCREASED SUCCINIC ACID PRODUCTION

CONTRACTUAL ORIGIN OF THE INVENTION

5 The United States Government has rights in this invention under Contract No. W-31-109-ENG-38 between the U.S. Department of Energy and the University of Chicago representing Argonne National Laboratory.

BACKGROUND OF THE INVENTION

10 **1. Field of the Invention**

This invention relates to a method to produce succinic acid, malic acid or fumaric acid, and more particularly this invention relates to a bacteria that produces high quantities of succinic acid, malic acid, and fumaric acid.

15 **2. Background of the Invention**

Carboxylic acids hold promise as potential precursors for numerous chemicals. For example, succinic acid can serve as a feedstock for such plastic precursors as 1,4-butanediol (BDO), tetrahydrofuran, and gamma-butyrolactone. New products derived from succinic acid are under constant development, with the most notable of these being polyester which is made by linking succinic acid and BDO. Generally, esters of succinic acids have the

20

potential of being new, "green" solvents that can supplant more harmful solvents and serve as precursors for millions of pounds of chemicals annually at a total market value of over \$1 billion.

25 Along with succinic acid, other 4-carbon dicarboxylic acids, such as malic acid, and fumaric acid also have feedstock potential.

The production of these carboxylic acids from renewable feedstocks (in this case through fermentation processes) is an avenue to supplant the more energy intensive methods of deriving
10 such acids from nonrenewable sources. Succinate is an intermediate for anaerobic fermentations by propionate-producing bacteria but those processes result in low yields and concentrations.

Anaerobic rumen bacteria, such as *Bacteroides ruminicola* and *Bacteroides amylophilus* also produce succinate. However,
15 rumen organisms are characteristically unstable in fermentation processes.

It has long been known that a mixture of acids are produced from E.coli fermentation, as elaborated in Stokes, J. L. 1949 "Fermentation of glucose by suspensions of *Escherichia coli*" J.
20 *Bacteriol.* 57:147-158. However, for each mole of glucose fermented, only 1.2 moles of formic acid, 0.1-0.2 moles of lactic acid, and 0.3-0.4 moles of succinic acid are produced. As such, efforts to produce carboxylic acids fermentatively have resulted in relatively large amounts of growth substrates, such as glu-
25 cose, not being converted to desired product.

Some bacteria, such as *A. succiniciproducens*, utilized in fermentation processes as outlined in U.S. Patent No. 5,143,834

to Glassner et al., naturally produce succinic acid in moderate yields. However, this host organism converts at most 1 mole of carbohydrate to 1.33 moles of succinate and 0.67 moles of acetate. Production of the acetate co-product illustrates that one-third of the expensive glucose is not converted to succinate. Furthermore, the *A. succiniciproducens* host strain has been shown to be not highly osmotolerant in that it does not tolerate high concentrations of salts and is further inhibited by moderate concentrations of product. Lastly, *A. succiniciproducens* presents handling problems in that as an obligate anaerobe, procedures using the organism must be done in the absence of oxygen. Also, medium preparation for the inoculum requires the addition of tryptophan and also requires the mixing of four different solutions, one of which contains corrosive and toxic H₂S.

A need exists in the art for a fermentation process to economically produce high amounts of carboxylic acids, such as succinic acid, malic acid and fumaric acid. The process should utilize low cost nutrients and substrates yet provide for high fermentation rates. To effect such a process, an osmotolerant, well-characterized facultative bacterial host is required to yield desired product in up to a 2:1 molar ratio of product-to-growth substrate.

SUMMARY OF THE INVENTION

It is an object of the present invention to provide a method for producing 4-carbon dicarboxylic acids that overcome many of the disadvantages of the prior art.

Yet another object of the present invention is to provide a strain of a facultative organism which produces concentrations of malic acid in the range of 100 grams per liter. A feature of the invention is the combination of a bacterium, which does not metabolize pyruvate to malic acid, with a malic enzyme gene. An advantage of the invention is the exclusive production of malic acid, or the production of malic acid and succinic acid.

Still another object of the present invention is to provide a strain of a facultative organism which produces succinic acid in a ratio of approximately 2:1 succinic to carbohydrate food source. A feature of the invention is the emergence of the strain after selective culturing techniques. An advantage of the invention is the economical production of succinic acid-producing mutants without the need for time consuming genetic manipulations of parent strains.

Briefly, a method for isolating succinic acid producing bacteria is provided comprising isolating a facultative organism lacking the capacity to catabolize pyruvate; increasing the biomass of the organism in an aerobic process; subjecting the biomass to glucose-rich medium in an anaerobic environment to enable pyruvate-catabolizing mutants to grow; and isolating the mutants.

The invention also provides for a mutant characterized in that it produces a mixture of succinic acid, acetic acid and ethanol as fermentation products, which as been derived from a parent which lacked the genes for pyruvate formate lyase and

lactate dehydrogenase, and which belongs to the *E. coli* Group of Bacteria.

BRIEF DESCRIPTION OF THE DRAWING

5 The present invention together with the above and other objects and advantages may best be understood from the following detailed description of the embodiment of the invention illustrated in the drawings, wherein:

FIG. 1 is a graph depicting an enhanced ratio of succinic acid to acetic acid production, in accordance with the present invention; and

FIG. 2 is a graph depicting an enhanced production of succinic acid after transformation of NZN 111 with malic enzyme gene, in accordance with the present invention.

DETAILED DESCRIPTION OF THE INVENTION

15 Generally, the inventors have found a method for determining bacteria which can economically produce high quantities of succinic acid, fumaric acid and malic acid in fermentation processes.

E.coli Mutation Detail

20 In one embodiment, a new mutant strain of *E.coli* has been developed that will produce increased amounts of succinic acid. The inventors have labeled this strain AFP 111, in as much as the strain has resulted from the efforts of the Alternative Feedstocks Program of the U. S. Department of Energy.

25 As noted supra, normally, under anaerobic conditions, wild type *E. coli* produces a mixture of fermentation products, of which succinic acid is a minor component. However, when AFP 111 is grown under anaerobic conditions, the major

metabolic product is succinic acid. AFP 111 contains a unique spontaneous chromosomal mutation that produces a mixture of succinic acid, acetic acid and ethanol, with succinic acid as the major product. A maximum yield of 99 percent, weight of succinic acid per weight of glucose is produced with AFP 111. The use of AFP 111 could significantly reduce the cost of producing succinic acid by fermentation processes.

Anaerobic fermentation is the most ancient pathway for obtaining energy from fuels such as glucose. In anaerobic cells it is the sole energy-producing process. In most facultative cells, it is an obligatory first stage in glucose catabolism, which is followed by aerobic oxidation of the fermentation products via the tricarboxylic acid cycle.

The most widely utilized type of fermentation is glycolysis with pyruvate produced as a penultimate product. The disposition of pyruvate depends on which genes are present in the organism. In the presence of lactate dehydrogenase enzyme, glycolysis terminates when pyruvate is reduced via NADH and H^+ to lactate. In the presence of pyruvate decarboxylase and alcohol dehydrogenase, ethanol is formed. In the presence of pyruvate formate lyase, fermentation terminates with the production of acetate, ethanol, and formate, or hydrogen plus carbon dioxide.

If a mutation or a plurality of mutations in a bacterial genome eliminates the genes in that organism responsible for the catabolism of pyruvate, then pyruvate will accumulate. In anaerobically growing *E. coli*, those genes are pyruvate formate

lyase (*pfl*) and lactate dehydrogenase (*ldh*). *E. coli* strain NZN 111, widely available to researchers from Dr. David Clark, Southern Illinois University, Carbondale IL 62901, contains mutations in both genes whereby both *pfl* and *ldh* have been
5 inactivated due to changes in the *E.coli* chromosomal DNA sequence. As such, NZN 111 cannot grow fermentatively.

Mutation Procurement Detail

Surprisingly and unexpectedly, the inventors have found that additional changes to NZN 111, occurring either spontane-
10 ously either during selective culturing or via plasmid transformation, ultimately result in the emergence of AFP 111 that produces succinic acid as a major product.

Spontaneous chromosomal mutations to NZN 111, which lead to AFP 111-type characteristics, occur when selective
15 environments are utilized in serial culturing techniques. In a first step, NZN 111 biomass is increased aerobically on a rich medium, such as Luria Bertaini (LB) broth (0.5 percent yeast extract, 1 percent tryptone, and 1 percent NaCl, pH 7.5). Yields of between approximately 10^9 to 10^{10} cells per milliliter are
20 desirable. While incubation periods can vary, growth phase durations of between 5-7 hours, at 37 °C, and at standard pressure produce the above-mentioned concentrations.

As a second step, the now accumulated biomass is subjected to anaerobic conditions rich in glucose to facilitate
25 growth only of those cells (mutants) able to catabolize pyruvate. Specifically, cells are spread on 1.5 percent Agar plates containing approximately 1 to 30 grams per liter (g/l) of

glucose, preferably 10 g/l glucose, and 30 micrograms (μ g) of Kanamycin. The gene for Kanamycin resistance is inserted into the gene for lactate dehydrogenase in NZN 111. Cultures are grown for 24 hours at 37 °C, in a controlled anaerobic atmosphere. One anaerobic atmosphere producing good results was a mixture of carbon dioxide and hydrogen, which was provided through the use of an atmosphere control device commercially available from Becton-Dickinson, Cockeysville, Maryland as GASPAK™.

10 The incubation period yielded many colonies of AFP 111 (approximately 2 per 10^7 cells) and approximately half of those were capable of growing in liquid medium to produce the desired mixture of products.

15 In the instance of plasmid transformation, when NZN 111 is transformed with the plasmid pMDH13 containing the gene *mdh* for a mutant malate dehydrogenase enzyme, pyruvate catabolism resumes to produce lactate. Serial culturing of this transformant [NZN 111(pMDH13)] results in AFP 111 containing a spontaneous chromosomal mutation. AFP 111 produces a mixture of succinic acid, acetic acid and ethanol as fermentation products, with succinic acid being produced up to 99 percent by weight compared to the weight of the glucose used in the growth medium. The development and transformation protocol of pMDH 13 is similar to that disclosed in W. E. Boernke, et al. (September 10, 1995) *Archives of Biochemistry and Biophysics* 322, No. 1 pp. 43-52, incorporated herein by reference.

AFP 111 Growth Detail

The ease of handling of AFP 111 and its subsequent growth make the strain much easier to work with than *A. succinici- producens*, which is the state of the art. For example, given the facultative aerobic characteristics of the organism, the invented growth process does not require rigorous use of anaerobic culturing techniques. The process does not require expensive growth medium, such as glucose and tryptophan, to produce a large biomass. Furthermore, the organism is osmotolerant in that it is capable of producing concentrations greater than 50 grams of organic acid salts per liter of fermentation liquor without any inhibition of its metabolism. Finally, AFP 111 bacteria also grow on xylose and other pentose sugars that are not assimilatable by *A. succiniciproducens*.

For experimental evaluation of the strains described herein, cells are cultured aerobically in glucose-free growth medium (Luria Broth) until cell densities of between 0.5 and 10 OD₆₀₀ are reached.

Once this appropriate biomass of AFP 111 is reached, the cells are then injected or otherwise transferred into a sealed fermentation reaction chamber to be contained therein. The broth is mixed with glucose or some other suitable carbohydrate, such as xylose, galactose or arabinose at concentrations varying between approximately 10 to 30 g/l. The now-contained mixture is subjected to an atmospheric change whereby anaerobic conditions are achieved. One means for

achieving the atmospheric change is through a gassing station whereby ambient air is exchanged for carbon dioxide.

Prior to introducing the mixture into the fermentation reaction chamber, the chamber is supplied with an appropriate amount of buffering medium, such as MgCO_3 , CaCO_3 , or $\text{CaMg}(\text{CO}_3)_2$ so as to maintain near neutral pH. Between approximately 4 and 8 weight percent of buffering medium is typically utilized for suitable buffering capacity. Especially good results are obtained when the buffering medium is present as a solid so as to confer a time-release buffering capacity to the fermenting liquor.

The above procedure results in high yields of succinic acid. For example, a 6:1 ratio of succinic acid to acetic acid by weight was obtained, with a 99 percent yield. The succinic acid to acetic acid ratio increases even further when fermentation is conducted in the presence of hydrogen gas in H_2 concentrations of between approximately 25 percent to 100 percent. These results indicate that unlike the state of the art organisms, the invented mutant AFP 111 uses exogenous hydrogen as a reductant. For example, when luria broth, glucose, buffering agent, and a mixture of hydrogen gas and carbon dioxide (CO_2 being liberated from the buffering agent) are present, succinic acid to acetic acid ratios approaching 9 are obtained; as depicted in FIG. 1. This result reflects another advantage of the present method of pinpointing the catabolism of glucose to desired product, without unwanted, acetate-producing side

reactions.

Table 1 below illustrates the product distribution of the dicarboxylic acids for the original parent LCB320 (also available from Southern Illinois University), NZN 111 and AFP 111.

5 When a 100 percent carbon dioxide atmosphere is utilized, succinic acid production is enhanced with concentrations of succinic acid reaching approximately 45 grams per liter, productivity reaching approximately 1.6 grams per liter per hour, percent yield of grams of succinic acid to grams of
10 glucose reaching 99 percent and the weight ratio of succinic acid to acetic acid reaching approximately six.

Table 1: Product yield in molar yield viz. initial glucose (mole percent) for AFP 111 and ancestors.

15	Product	Original Parent <u>LCB 320</u>	ImmediateParent <u>NZN 111</u>	Mutant <u>AFP 111</u>
	Succinic A.	12	2	109
	Lactic A.	24	0	0
	Pyruvic A.	1	17	0
	Formic A.	26	0	0
20	Acetic A.	51	6	49
	Ethanol	<u>80</u>	<u>15</u>	<u>47</u>
	Total Product	193%	41%	206%*

*Molar yield values in theory can be 200 percent because one molecule of glucose can give two of all the products.

25

Succinic acid is also produced when the *E. coli* NAD-dependent malic enzyme is produced in NZN 111 (by the addition and induction of the gene *maeA*). In this instance, the

inducible plasmid pMEE2-1 is used to allow expression of the malic enzyme gene in the transformant NZN 111(pMEE2-1).

Genomic DNA isolated from *E. coli* MC1061 was used as a template for cloning malic enzyme by PCR. The *E. coli* MC1061 was digested with restriction endonucleases Hind III and Pst I, with the resulting digested material sized on 1 percent TAE agarose gel. The size of the genomic DNA fragment containing the malic enzyme gene was determined using Southern Blot analysis with the PhotoGene Nucleic Acid Detection System (Cat 8192SA), as described supra.

Biotinylated Probe
Preparation Detail

Primers were based on published partial DNA sequence of the gene:

Sense: CGAAGAACAAGCGGAACGAGCAT;
Antisense: GGCAGCAGGTTTCGGCATCTTGTC.

These primers were combined at 1 micromolar (μ M) with approximately 20 nanograms (ng) of genomic DNA in a standard 100 microliter (μ l) PCR reaction which produced the expected 0.8 kilobase (kb) internal fragment of the malic enzyme gene.

The PCR product was purified using a Qiaex Gel Extraction Kit (Qiagen, Inc., Chatsworth, California) and biotinylated using a BioNick Labeling System (GibcoBRL, Gaithersburg, Maryland).

The biotinylated PCR product was used as the probe in the Southern Blot analysis of genomic *E.coli* DNA which had been cleaved with Hind III and one of several other second endonucleases. The malic enzyme gene was determined to be

located in the region containing 2.0-2.5 kb fragments of Hind III and Pst I digested DNA.

Initial Malic Enzyme
Gene Cloning Detail

5 One microgram of *E.coli* DNA was digested with Hind III and Pst I and sized on a preparative 1 percent TAE agarose gel. The *E. coli* DNA fragments in the 2.0-2.5 kb region were isolated and purified using the Qiaex Gel Extraction Kit. The purified DNA fragments were ligated into the polylinker region of

10 pUC19 which had been cleaved with Pst I and Hind III and treated with shrimp alkaline phosphatase. The ligated material was then used as a template for a PCR reaction to amplify the entire malic enzyme gene. One microliter of the ligation mixture was used as a template with 1 μ M of sense primer

15 GATGCCCCATGGATATTCAAAAAGAGTGAGT, which targeted the malic enzyme gene, and 0.25 μ M of antisense primer TTTTCCCAGTCACGACGTTG, which targeted the ligated pUC19 DNA. The amplification parameters were 94 °C denaturation, 55 °C hybridization for one minute and a 72°C extension for

20 three minutes for a total of 35 cycles. The PCR product was analyzed on a one percent TAE-agarose gel and the 1.8 kb fragment was isolated and purified using the Qiaex Gel Extraction Kit. A portion of the PCR product was digested with *Bcl* and *Bgl* to demonstrate that the product did contain the malic

25 enzyme gene. The remainder of the PCR product was digested with Pst I and Nco I, gel isolated, repurified and then ligated into the polylinker region of the expression vector pTRC99a

(Pharmacia, Piscataway, New Jersey) which had been cleaved with Nco I and Pst I. *E. coli* strain NZN 111 was transformed with the ligation mixture by standard methods and the resulting colonies (four colonies from experimental and 2 colonies from control) were screened for the malic enzyme gene by restriction fragment analysis using *Xmn* (0.7 kb, 1.4 kb and 3.9 kb fragments expected). The plasmid containing the cloned malic enzyme gene was named pMEE3.

10 Alternative N-Terminus
Detail for Malic Enzyme

A 100 ml culture of NZN (pMEE3) was grown in an overnight culture and the plasmid was isolated using a Qiagen Plasmid Kit. The isolated plasmid was used as a template for PCR reaction. A new primer was designed to give an alternative N-terminus which was 81 base pairs down stream from the primer used in the first cloning of the malic enzyme. Twenty nanograms of plasmid was used as template with 1 μ M of sense primer AGGATCCATGGAACCAAAAACAAAAAC and antisense primer CGCCAGGGTTTTCCCAGTCACGAC. The amplification parameters were the same as noted above. A portion of the PCR product was again verified by restriction mapping with *Bcl* I and *Bgl* II which verified that the product contained the malic enzyme gene. The remainder of the PCR material was digested with *Pst* I and *Nco* I and gel isolated, repurified and then ligated into the polylinker region of the expression vector PTrc99aa (Pharmacia, Inc. Piscataway, N.J.) which had been cleaved with Nco I and PSt I. *E. coli* strain JM109 was trans-

formed with the ligation mixture by standard methods and the resulting colonies (three experimental clones and 1 control clone) were screened for the desired insert by restriction fragment analysis. The plasmid containing this version of the malic enzyme gene was named pMEE2.

Optimization of Promoter
Inducer Conditions Detail

Thirty milliliters of LB broth containing 100 µg/ml ampicillin were inoculated with 1.5 mls of an overnight culture of pMEE2. After two hours of growth, the 30 ml culture was separated into 3-10 ml aliquots. Enzyme activity was induced with 0, 100 µM, and 10 µM isopropylthiogalactoside (IPTG). A 2 ml sample was removed from each culture at 0, 1, 2, 3, and 4 hours. Protein was isolated according to standard methods and the activity was determined as noted above.

Enzyme production, over time is depicted in Table 2 below:

Table 2: Malic enzyme production induced by IPTG in LB broth.

20	Time (hour)	Without IPTG	100 μ M IPTG	10 μ
	<u>IPTG</u>			
25		<u>μg/min/mg protein</u>		
	0	3.09	-	-
	1	4.83	26.5	5.84
	2	4.26	38.2	10.06
	3	8.46	75.3	32.7
	4	9.92	88.2	38.95

The physiological effect of pMEE2 expression is depicted in FIG. 2. Duplicate cultures of NZN 111(pMEE2) and, as a control, NZN 111(pTRC99a) were grown aerobically in 2 ml LB medium containing ampicillin. One culture of each was induced with 10 μ M IPTG. After three hours, OD₆₀₀ had increased from 0.6 to 4.8. One milliliter of the cultures were injected into sealed 58 ml vials containing 10 ml of LB medium containing glucose at 20 g/L, acetate at 1 g/L and 0.5 g of solid MgCO₃. The atmosphere consisted of air:hydrogen:carbon dioxide in a 1:1:2 ratio at 1 atm pressure above ambient pressure. The culture was sampled immediately and at intervals during incubation at 37 °C with shaking at 100 rpm. Table 3 below provides a comparison of product yields when NZN 111 is transformed with raw vector (pTRC99a) versus pMEE2.

Table 3: Effect of expression of malic enzyme in NZN 111(pMEE2) versus NZN 111(pTRC99a)

Product	Vector	<i>maeA</i>
	g/L	
Succinic Acid	0.3	6.5
Lactic Acid	0.4	0.4
Acetic Acid	0	0
Ethanol	0	0.2

The results depicted in Table 3 are the result of incubation periods of between approximately 19 and 42 hours.

Lactobacillus Mutant Detail

The inventors also have determined a method for higher

production of malic acid via fermentation. Malic acid, a precursor of succinic acid is in principle a better end product than succinic acid, in as much as its production requires one less reductive step. The theoretical stoichiometry for malic acid production is one mole of glucose and two moles of carbon dioxide converted to two moles of malic acid. As such, the production of malic acid could occur without waste of glucose. Fumaric acid, which is the dehydration product of malic acid and the precursor of succinate in the reduction pathway, could also be formed. Both malic acid and fumaric acid also could be formed without the production of co-product, but the higher solubility of malic acid makes it preferable for large scale production processes.

The transformation of suitable bacteria with a gene responsible for production of malic enzyme (such as *maeA*) could result in a surplus of malate. Generally, the ideal bacteria would lack lactate dehydrogenase activity, and other enzymes which metabolize pyruvate, thereby resulting in an accumulation of pyruvate. The bacteria are instead transformed with *maeA* to directly produce malate. To maintain the high levels of malate produced, the bacteria must not be capable of converting the malate back to lactate, or on to fumarate or succinate. In as much as some *Lactobacillus* strains lack the malolactate enzyme, fumarase, and fumarate reductase responsible for such conversions, this strains are particularly suitable candidates for malate production in fermentation processes. The suitability of *Lactobacillus* is

further enhanced given its very high osmotolerant characteristics. *Lactobacillus gasseri* is a near term host for such manipulation since it has been shown not to metabolize malate during the fermentation of glucose and is fairly well characterized genetically. *Lactobacillus casei* also holds considerable potential in as much as it exhibits relatively higher osmotolerance than *L. gasseri*.

Generally, a malic enzyme gene (such as *maeA*) in a suitable lactobacillus expression vector, such as pTRK327 induced in a lactobacillus host lacking a functional lactate dehydrogenase gene, would allow formation of malic acid. This could be achieved by insertion of the malic enzyme into the host's lactate dehydrogenase gene.

While the invention has been described with reference to details of the illustrated embodiment, these details are not intended to limit the scope of the invention as defined in the appended claims.

Claims:

What is claimed is:

- 1 1. A method for isolating succinic acid producing bacte-
2 ria comprising:
 - 3 a.) isolating a facultative organism lacking the capaci-
4 ty to catabolize pyruvate;
 - 5 b.) increasing the biomass of the organism in an
6 aerobic process;
 - 7 c.) subjecting the biomass to glucose-rich medium in
8 an anaerobic environment to enable pyruvate-catabolizing
9 mutants to grow; and
 - 10 d.) isolating the mutants.
- 1 2. The method as recited in claim 1 wherein the
2 facultative organism lacks pyruvate formate lyase and lactate
3 dehydrogenase activity.

1 3. The method as recited in claim 1 wherein the
2 facultative organism lacking the capacity to catabolize pyru-
3 vate is NZN 111.

1 4. The method as recited in claim 1 wherein the
2 organism is cultured aerobically on Luria broth.

1 5. The method as recited in claim 1 wherein the
2 biomass is increased to between approximately 10^9 to 10^{10}
3 cells per milliliter.

1 6. The method as recited in claim 1 wherein the
2 glucose-rich medium contains between approximately 1 g/l and
3 30 g/l of glucose.

1
2 7. A mutant characterized in that it produces a
3 mixture of succinic acid, acetic acid and ethanol as fermenta-
4 tion products, which as been derived from a parent which
5 lacked the genes for pyruvate formate lyase and lactate
6 dehydrogenase which belongs to the *E.coli* Group of Bacteria.

1
2 8. A mutant as described in claim 7 wherein the
parent is NZN 111.

1 9. AFP 111, as claimed in claim 7.

1 10. A mutant as described in claim 7 wherein succinic

2 acid is the major fermentation product.

1 11. A method for creating an organism that produces
2 succinic acid comprising:

3 a.) placing a carboxylic acid-producing organism in a
4 medium which lacks glucose so as to increase its biomass;

5 b.) subjecting the increased biomass to glucose-rich
6 fermentation media in an anaerobic atmosphere; and

7 c.) isolating succinic acid producing organisms from
8 the biomass.

1 12. The method as recited in claim 11 wherein the
2 organism is osmotolerant.

1 13. The method as recited in claim 11 wherein the
2 organism is genetically manipulated to express an enzyme
3 which enables the organism to convert pyruvate to dicarboxylic
4 acid.

1 14. The method as recited in claim 13 wherein the
2 enzyme is malic enzyme.

1 15. The method as recited in claim 14 wherein the
2 organism is selected from the group consisting of *Lactobacil-*
3 *lus* and *E. coli* bacteria.

1 16. The method as recited in claim 15 wherein the

2 organism is *E. coli* bacteria which has been derived from a
3 parent which lacked the genes for pyruvate formate lyase and
4 lactate dehydrogenase and the carboxylic acid produced is
5 primarily succinic acid.

1 17. The method as recited in claim 16 wherein the
2 formation of succinic acid is enhanced in an atmosphere rich in
3 hydrogen.

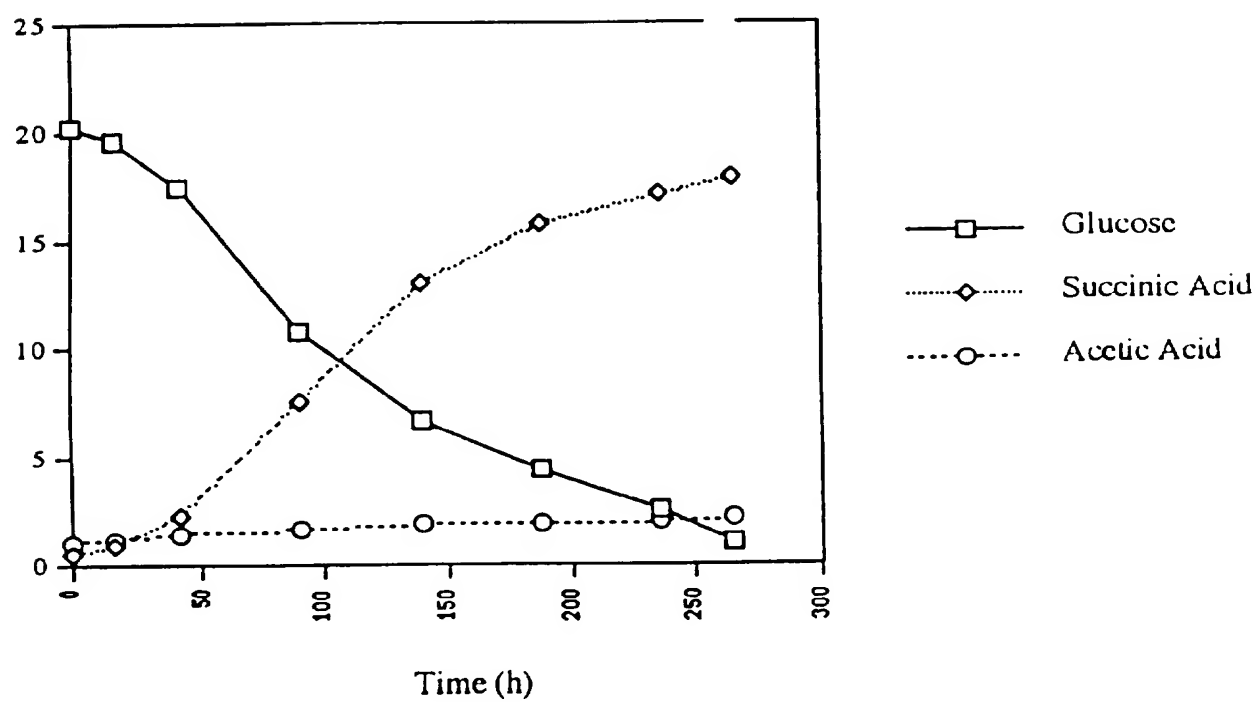
1 18. The method as recited in claim 15 wherein the
2 organism is a *Lactobacillus* bacteria and the carboxylic acid
3 produced is malic acid.

1 19. The method as recited in claim 11 wherein the
2 organism is characterized in that it produces a mixture of
3 succinic acid, acetic acid and ethanol as fermentation prod-
4 ucts, which as been derived from a parent which lacked the
5 genes for pyruvate formate lyase and lactate dehydrogenase
6 which belongs to the *E.coli* Group of Bacteria.

1 20. The method as recited in claim 11 wherein the
2 concentration of the glucose in the glucose rich medium is
3 between approximately 10 and 30 g/l.

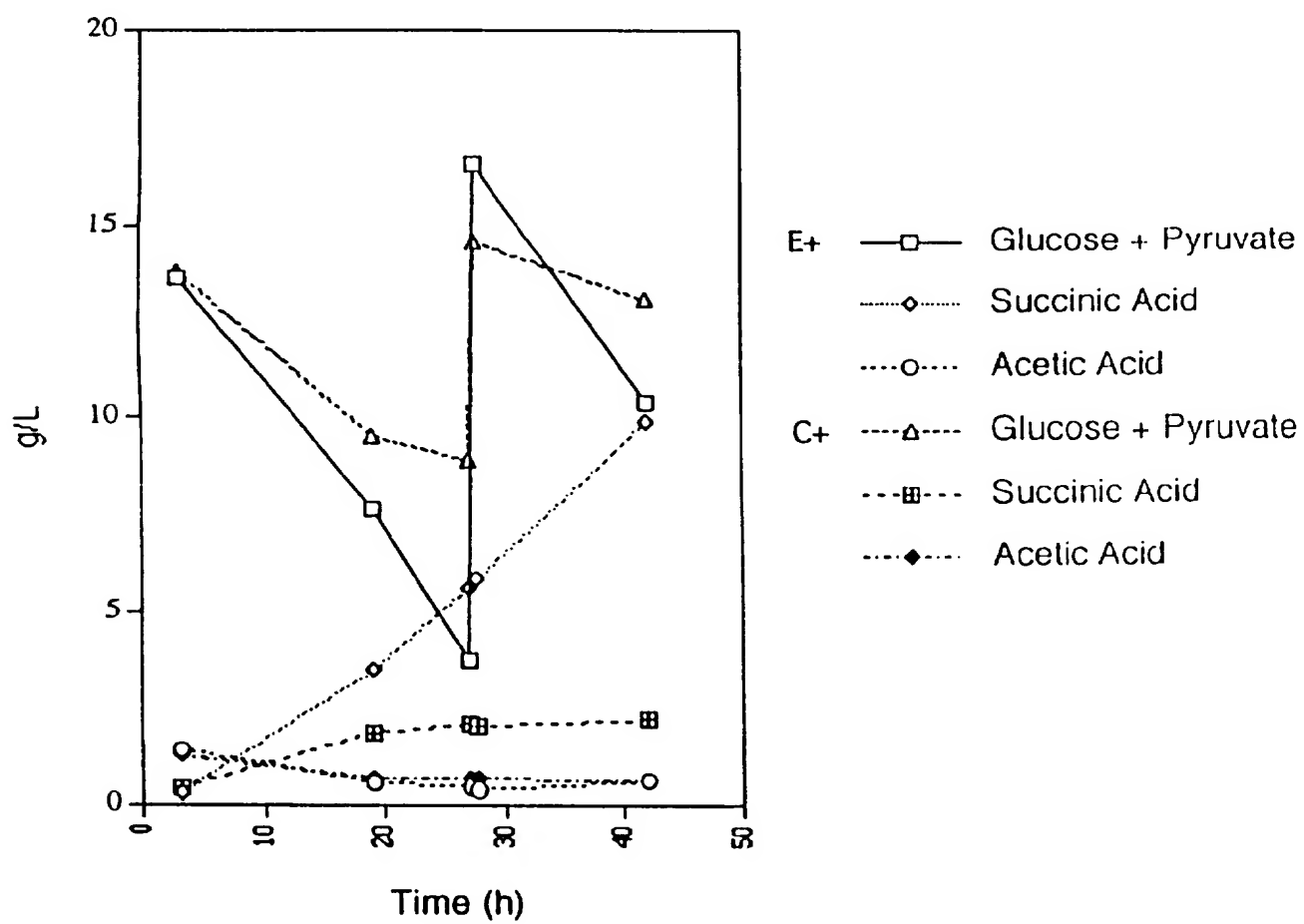
1/2

FIG 1



2/2

FIG 2



INTERNATIONAL SEARCH REPORT

International application No.
PCT/US96/17661

A. CLASSIFICATION OF SUBJECT MATTER IPC(6) : C12N 1/12, 1/20, 1/38, US CL : 435/244, 52.1, 252.3, 252.33, 252.9, 261, According to International Patent Classification (IPC) or to both national classification and IPC														
B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) U.S. : 435/244, 52.1, 252.3, 252.33, 252.9, 261, Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)														
C. DOCUMENTS CONSIDERED TO BE RELEVANT														
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.												
A	US, A, 4,190,495 (CURTISS) 26 February 1980, see column 2.	1-6												
A	US, A, 5,403,721 (WARD ET AL) 04 April 1995, see column 4.	1-6												
A	US, A, 5,416,020 (SEVERSON ET AL) 16 May 1995,, see column 2.	1-6												
A	US, A, 5,182,199 (HARTLEY ET AL) 26 January 1993, see column 2.	1-6												
<input type="checkbox"/> Further documents are listed in the continuation of Box C. <input type="checkbox"/> See patent family annex.														
<table border="0"><tr><td>* Special categories of cited documents:</td><td>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</td></tr><tr><td>"A" document defining the general state of the art which is not considered to be of particular relevance</td><td>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</td></tr><tr><td>"E" earlier document published on or after the international filing date</td><td>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</td></tr><tr><td>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</td><td>"Z" document member of the same patent family</td></tr><tr><td>"O" document referring to an oral disclosure, use, exhibition or other means</td><td></td></tr><tr><td>"P" document published prior to the international filing date but later than the priority date claimed</td><td></td></tr></table>			* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention	"A" document defining the general state of the art which is not considered to be of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone	"E" earlier document published on or after the international filing date	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art	"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Z" document member of the same patent family	"O" document referring to an oral disclosure, use, exhibition or other means		"P" document published prior to the international filing date but later than the priority date claimed	
* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention													
"A" document defining the general state of the art which is not considered to be of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone													
"E" earlier document published on or after the international filing date	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art													
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Z" document member of the same patent family													
"O" document referring to an oral disclosure, use, exhibition or other means														
"P" document published prior to the international filing date but later than the priority date claimed														
Date of the actual completion of the international search 29 JANUARY 1997		Date of mailing of the international search report 28 FEB 1997												
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703) 305-3230		Authorized officer DR. HERBERT E. LILLING Telephone No. (703) 308-0196												

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US96/17661

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: 11-20
because they relate to subject matter not required to be searched by this Authority, namely:

THE CLAIMS ARE NOT ONE OF THE STATUTORY CLASSES.
2. ☐ Claims Nos.:
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

☐
☐

The additional search fees were accompanied by the applicant's protest.

No protest accompanied the payment of additional search fees.